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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/773,969
		Filing Date	Feb 6, 2004
		First Named Inventor	Byrnes, Terrence P.
		Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	4 + refs	Attorney Docket Number	B10-005-01-US

### ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation
- Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

- After Allowance communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Return post card

#### Remarks

Enclosed is an Information Disclosure Statement and PTO Form SB08/A, along with fifteen cited references.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Moore, Hansen & Sumner Allen J. Oh, Registration No. 42,047.
Signature	
Date	March 16, 2004

### CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Type or printed name	K. J. Rostberg
Signature	
	Date 3/16/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

Minneapolis, Minnesota  
March 16, 2004

**Inventor:** Terrence P. Byrnes

**Customer No.:** 22,854

**Serial No.:** 10/773,969

**Atty. Docket No.:** B10-005-01-US

**Filed:** February 6, 2004

**Conf. No.:**

**Group:**

**Examiner:**

**Examiner Fax:**

**For:** Umbrella with Cooling Misting Screen

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INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97(a)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

Pursuant to 37 CFR §§ 1.97 and 1.98, Applicant in the above-identified application submits this Information Disclosure Statement, together with form SB08/A enclosed herewith, to bring to the Examiner's attention documents of which Applicant has recently become aware.

Applicant, by submitting the listed documents, does not represent any of the documents to be prior art to this application, and expressly reserves the right, under 37 CFR § 1.131, to establish otherwise. Applicant does not represent the listed documents to be material to the examination of the application, but has cited same to make it clear beyond all doubt that Applicant has complied with the Duty of Disclosure. Furthermore, this disclosure statement is not to be construed as a representation that a search has been conducted by Applicant or that no other material information exists. A copy of each identified document is submitted herewith.



**REMARKS**

Applicant requests that these documents be considered by the examiner and made of record in the present application under 37 CFR § 1.104.

Respectfully submitted,  
TERRENCE P. BYRNES  
By his attorneys

MOORE, HANSEN & SUMNER  
2900 Wells Fargo Center  
90 South Seventh Street  
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(612) 332-8200

Date: March 16, 2004

By   
Allen J. Oh, Registration No. 42,047



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Examiner Name \_\_\_\_\_  
Sheet 1 of 1 Attorney Docket Number B10-005-01-US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- Des. 416,073	11-02-1999	R.J. Louis, et al.	
		US- Des. 422,051	03-28-2000	R.F. Louis, Jr.	
		US- 2,727,366	12-20-1955	O.A. Hagen	
		US- 4,697,606	10-06-1987	M.J.S. Ma	
		US- 5,000,384	03-19-1991	T.S. Arnold	
		US- 5,143,107	09-01-1992	J.M. Kelley	
		US- 5,299,588	04-05-1994	D.R. MacLeod	
		US- 5,752,534	05-19-1998	K.K. Becher	
		US- 5,979,793	11-09-1999	R.J. Louis	
		US- 6,017,188	01-25-2000	C. Benton	
		US- 6,161,771	12-19-2000	J.W. Henry	
		US- 6,298,866	10-09-2001	W.F. Molnar, IV	
		US- 6,374,840	04-23-2002	O. Joen-An Ma	
		US- 6,386,214	05-14-2002	D.H. Clarke	
		US- 6,598,990	07-29-2003	W. Yling Li	
		US-			
		US-			
		US-			

<b>Examiner Signature</b>		<b>Date Considered</b>	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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